

Declaration for an Entity

Please return to: Royal Court, Castletown, Isle of Man, British Isles, IM9 1RA

Fax: +44 1624 824405 e-mail: customerservices@fpiom.com

Entity details

Account name

Account number(s)

1 In relation to taking out this policy, is the Entity:

A financial institution (including a professionally managed trust?

☐

If Yes, please state your Global Intermediary Identification number¹ (GIIN), if available

Is the Entity a financial institution (including a professionally managed trust) but does not have a GIIN?² If Yes, please specify the reason.²

☐

A registered UK pension scheme?³

☐

If you ticked any of the above, you do not need to fill out the rest of the form.

A registered charity?

☐

An actively trading non-financial institution?⁴

☐

A non-trading investment body?⁵

☐

Other? (please specify)

☐

2 Please list the country or countries in which the Entity is resident for tax purposes, together with any Tax reference number(s)/ Tax Identification Number(s) ('TIN'), if relevant

Country 1

Country 2

Country code (if known)

Country code (if known)

Tax reference number/TIN

Tax reference number/TIN

Country 3

Country 4

Country code (if known)

Country code (if known)

Tax reference number/TIN

Tax reference number/TIN

- 3 If the Entity is a non-trading investment body, such as a non-professionally managed trust or a investment holding company, for each Controlling person identified as part of AML/KYC, please list the Controlling person's details and the country or countries in which they are tax resident⁶, their nationality or nationalities, together with any Tax reference number(s)/Tax Identification Number(s) ('TIN') that may be relevant.

* A Controlling person can be a Settlor, Trustee, Protector, Beneficiary or class of beneficiaries or Shareholders⁷.

	Controlling Person 1	Controlling Person 2
Title	Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other <input type="text"/>	Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other <input type="text"/>
Full name	<input type="text"/>	<input type="text"/>
ID card/passport number	<input type="text"/>	<input type="text"/>
Date of Birth (DD/MM/YYYY)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Full address	<input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/>
Please list all countries in which you are tax resident. Please provide your tax identification number for each country.	Country 1 <input type="text"/> Country code (if known) <input type="text"/> Tax identification number/TIN <input type="text"/> Country 2 <input type="text"/> Country code (if known) <input type="text"/> Tax identification number <input type="text"/>	Country 1 <input type="text"/> Country code (if known) <input type="text"/> Tax identification number/TIN <input type="text"/> Country 2 <input type="text"/> Country code (if known) <input type="text"/> Tax identification number <input type="text"/>
In which countries do you have nationality/citizenship status? If you have more than one nationality/citizenship status, please set out all countries of which you are a national/citizen, as well as the relevant tax identification number(s) where applicable. Please continue on a separate piece of paper, if necessary.	Country 1 <input type="text"/> Country code (if known) <input type="text"/> Tax identification number <input type="text"/> Country 2 <input type="text"/> Country code (if known) <input type="text"/> Tax identification number <input type="text"/>	Country 1 <input type="text"/> Country code (if known) <input type="text"/> Tax identification number <input type="text"/> Country 2 <input type="text"/> Country code (if known) <input type="text"/> Tax identification number <input type="text"/>
What makes this individual a controlling person?	<input type="text"/>	<input type="text"/>

	Controlling Person 3	Controlling Person 4
Title	Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other <input type="text"/>	Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other <input type="text"/>
Full name	<input type="text"/>	<input type="text"/>
ID card/passport number	<input type="text"/>	<input type="text"/>
Date of Birth (DD/MM/YYYY)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Full address

Please list all countries in which you are tax resident. Please provide your tax identification number for each country.

Country 1		Country 1	
Country code (if known)		Country code (if known)	
Tax identification number		Tax identification number	
Country 2		Country 2	
Country code (if known)		Country code (if known)	
Tax identification number		Tax identification number	

In which countries do you have nationality/citizenship status? If you have more than one nationality/citizenship status, please set out all countries of which you are a national/citizen, as well as the relevant tax identification number(s) where applicable. Please continue on a separate piece of paper, if necessary.

Country 1		Country 1	
Country code (if known)		Country code (if known)	
Tax identification number		Tax identification number	
Country 2		Country 2	
Country code (if known)		Country code (if known)	
Tax identification number		Tax identification number	

What makes this individual a controlling person?

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Please use an additional sheet, if required.

Important notes

A number of governments have entered into agreements to share information about financial accounts held by taxpayers in other territories. The requirement to collect certain information about each customer's tax arrangement is part of legislation and as a financial services company we are legally obliged to collect it. We are asking for your tax residency, nationality and tax ID numbers (where applicable) and will record this on our records now, but will only disclose this information to the relevant tax authorities if and when we are required to under law.

If you have any questions on how to complete this form, we recommend that you speak to your tax or legal adviser.

- 1 If the financial institution does not have a GIIN number yet, please notify us when you have been issued one. GIIN numbers are required from 1 January 2015.
- 2 For a financial institution that will not have a GIIN, the reason is required. In particular, please set out:
 - whether the Entity is a trustee documented trust
 - a deemed compliant financial institution
 - owner documented financial institution
 - non-participating financial institution.
- 3 Under legislation, there is no need for UK-registered pension funds to obtain a GIIN.
- 4 This includes trading companies.
- 5 This includes all non-trading investment bodies, for example family trusts (other than those with professional trustees) and also investment holding companies.
- 6 Tax residency rules for Entities vary from country to country, and can be complex. For instance, companies will often be tax resident in the country where they are incorporated, as well as any other countries in which they conduct business, while trusts may need to consider where their trustees are resident. If there is doubt about where an Entity is tax resident, professional advice may be required.
- 7 Controlling persons – for a trust, this includes Settlor, Trustee, Protector (if any) beneficiary or class of beneficiaries. For any other Entity, it includes a shareholder and any other natural person exercising ultimate control over the investment. A shareholder's or beneficiary's details only need to be included if they own, or are entitled to, at least 25% of the trust property.

Declaration

I understand that information collected or held by Friends Provident International, whether contained in this certification or otherwise, is provided and may be held, used, or disclosed to other members of Friends Life Group Plc, including subsidiaries. I understand that Friends Life Group may be required by law to transfer information to relevant tax authorities anywhere in the world and that this transfer will be done without any further prior notification. I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I am aware that tax evasion is a criminal offence and I will not use this policy to evade tax. I understand that Friends Provident International has statutory obligations to report suspicions of criminal wrongdoing including tax evasion to law enforcement agencies or other relevant authorities in the locations where it operates. I am responsible for my own tax affairs and I hereby declare that I understand my personal tax obligations and responsibilities and I have complied with all legal requirements to make declarations to tax authorities and pay the tax that I owe. As appropriate and necessary I have taken, or will take, legal advice in relation to my tax affairs and in particular, my tax obligations as they apply to this application.

Signature

(This could be signed by a Controlling Person of a trust or company for example)

Signing on behalf of

Name (block capitals)

Position

Date

Friends Provident International is unable to advise on these matters and cannot be held responsible for incorrect information provided in this self-certification and any consequences of this.

If any of the information above about your tax residency or FATCA classification changes in the future, please ensure you advise us of these changes promptly.

Data privacy

We take the responsibility of handling your personal data very seriously and we will only ask you for details required to process your requests to us. Please be aware of our privacy policy - please visit www.fpinternational.sg/legal/privacy-and-cookies.jsp to view the full policy or this can be provided on request from our Data Protection Officer.

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